

# ADAPTIVE STRIPE BASED PATCH MATCHING FOR DEPTH ESTIMATION

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## ABSTRACT

In this contribution, a novel stereo matching technique for depth estimation in stereoscopic image pairs is presented. The input image pair is preprocessed in the intensity domain and edge maps together with an adaptive mesh approximating to linearly modeled regions are obtained. Then, an iterative stripe based, quadrilateral patch matching technique is employed to estimate the depth map from the image pair in a hierarchical manner. Finally, the resultant map is postprocessed to smooth the depth map at the patch borders. The quality of test results demonstrate the effectiveness of the technique.

## 1. INTRODUCTION

Locating the same point in multi-viewed images is one of the most difficult aspects of developing computational algorithms for stereopsis. With the correspondence of pair-wise related image points which represent a single point in the physical scene, the structure or depth can be recovered from a priori knowledge of the camera system geometry. The majority of the stereo matching approaches can be classified as the region-based and feature-based methods. In region-based methods, matching is done based on attribution similarity of a window around the point. The aim is to obtain correspondences for every image point. On the other hand, feature-based methods assign disparity which is the lateral difference between the matched points, only to feature points such as corner points, edges or zero-crossings. However, most stereo algorithms tend to produce error due to noise, low feature content, depth discontinuities, occlusion, photometric differences and etc. It is well known that for certain types of matching primitives, finding correspondence is an ill-posed problem [1]. To regulate this problem, a smoothness constraint on the disparity field is generally incorporated, although the fact that smoothing over disparity boundaries causes additional deformations. Previous research on region-based techniques [2],[3], showed that the matching window size must be

large enough to include sufficient intensity variation for matching but small enough to avoid the effects of projective distortion. If the window is too small or doesn't cover enough intensity variation, the estimation is poor because of low intensity to noise ratio. Conversely, if the window is too large, the estimated depth may not represent correct matching because of over averaging the spatial information.

In this paper, we propose a novel depth estimation technique. The principal constituents of our technique are: 1) generation of a mesh, 2) modeling depth functions for mesh components, 3) error minimization, and 4) extraction of disparity and depth in a hierarchical manner. The novelty of the technique comes from introducing a special mesh structure into stereo vision. Rather than using an arbitrarily structured mesh, we use a stripe mesh in which an image is divided into horizontal stripes, and each stripe is further divided into quadrilaterals. This mesh belongs to the general category of the quadrilateral mesh [5], but each quadrilateral element is constrained to have parallel sides on the top and bottom, i.e, a trapezoid. See Fig. 1 for an example. The motivation of using stripes comes from the nature of the correspondence problem. For a nonvergent camera system geometry, disparity vectors lie parallel to the epipolar lines [8]. This epipolar constraint allows for a controlled correspondence search strategy. Thus, a stereo matching scheme which specializes in epipolar-directional searching is more effective in terms of speed and accuracy. The epipolar line constraint and the uniqueness constraint, which asserts a given point in the image may be assigned at most one disparity value, can be applied simultaneously to a mesh consists of stripes along the epipolar lines. By using a stripe mesh rather than an arbitrary mesh, we keep the set of all potential correspondences for a patch in a simple form, therefore processing on this set, namely on the stripe, becomes more efficient. Unlike an arbitrary mesh, reallocation of nodes only affects the adjoint *two* patches on the stripe. Besides, a matching error function calculated for stripes is faster in computation time than the one calculated for the whole image. The left

and right borders of patches is chosen such that the borders correspond to distinctive depth changes and the patch approximates a flat surface in the 3D scene. This provides disparity field segmentation which is necessary to avoid smoothing disparity filed over disparity boundaries. The shape of the patches are determined depending on the local intensity changes due to depth variations in the scene. Thus, our method overcomes the deficiencies of the block-based matching methods which use constant sized and shaped matching windows.

In Section II, we develop a mesh generation algorithm. The depth estimation algorithm and modeling are described in Section III. Section IV provides experimental results.

## 2. ADAPTIVE MESH GENERATION

Let the baseline be parallel to the  $x$ -axis and the camera imaging planes be coplanar. Let the stereo intensity images after some preprocessing be  $f_L(\mathbf{x})$  and  $f_R(\mathbf{x})$  where  $\mathbf{x}$  is a two dimensional position vector. Then  $f_L$  and  $f_R$  are related by

$$f_R(\mathbf{x}) = f_L(\mathbf{x} - \mathbf{d}(\mathbf{x})) + n(\mathbf{x})$$

where  $\mathbf{d}(\mathbf{x})$  is the disparity vector function and  $n(\mathbf{x})$  represents intensity change due to photometric effects as well as noise. In the present study, we prefer to neglect this term. The horizontal edge  $H(\mathbf{x})$ , and the omnidirectional edge maps  $E(\mathbf{x})$ , which will be used for mesh generation, are obtained by applying the  $3 \times 3$  *sobel* filter to the left image followed by a confining confidence algorithm [7].

The mesh  $M(s, r_i)$ , where  $s$  stands for stripe number,  $r$  stands for patch number,  $i$  is one of the four corners, is derived from  $H(\mathbf{x})$  and  $E(\mathbf{x})$ . Firstly, a row strength for each row is calculated by adding the horizontal image  $H(\mathbf{x})$  magnitudes along a band around each row. These row strengths are ordered with respect to their magnitudes and the up and down borders of stripes are chosen such that the row with maximum strength value is selected if there is no previously selected row close to it. The minimum closeness constraint and a row strength threshold are included to limit the number of stripes as well as overing detailed divisions. After the stripes are obtained, the next step in the algorithm segments each of these stripes into quadrilateral regions. Based on the edge map,  $E(\mathbf{x})$ , the left and right borders of each quadrilateral are determined. This is accomplished by ordering all possible borders according to an edge score, then selecting the first N of them. The edge score for a borderline is defined as the summation of the edge magnitudes in pix-

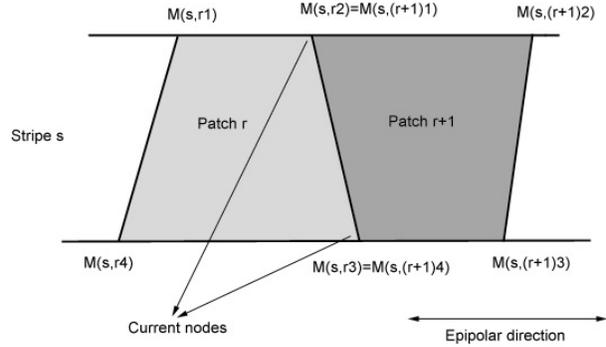


Figure 1: Two patches from the mesh

els along the neighborhood of the borderline. To avoid two borders to become too close, the selection is done sequentially, so that the new border line selected is sufficiently far away from the previously chosen borders. These will yield the values of  $M(s, r_i)$  for  $i = 1 \dots 4$ , the coordinates of  $r^{th}$  patch in  $s^{th}$  stripe.

## 3. DEPTH ESTIMATION

### 3.1. Depth and Disparity Modeling

Let  $Z(s, r_i)$  denote the depths of the patch corners. There are two options for estimating these depth values. In the first option, estimation is done by assuming the depth of the stripe is changing continuously. With the second option, the depth is assumed to be continuous inside each patch but jumps are allowed between the neighboring patches. When the depth continuity constraint for mesh is employed, which also means the depth of imaged scene is assumed to be changing continuously, the value of  $Z(s, r_i)$  is equal to  $Z(s, (r + 1)_j)$  for the pairs  $(i, j) = (2, 1), (3, 4)$  as shown in Fig. 1.

If the corresponding mesh in the right image is  $\widehat{M}(s, r_i)$  then we relate  $M$  and  $\widehat{M}$  by

$$\widehat{M}(s, r_i) = M(s, r_i) + d(M(s, r_i))$$

Note that because epipoles are in the horizontal direction, the disparity in the vertical direction is zero which reduces the vector  $\mathbf{d}$  to a real number  $d$ . If the vector  $\mathbf{p}$  is the coordinates of a point inside  $r^{th}$  patch of  $s^{th}$  stripe, the disparity of this point is found by

$$d(\mathbf{p}) = g(\mathbf{p}, M(s, r_i), Z(s, r_i))$$

which means disparity at this point is a function of the coordinates and depths of corresponding patch corners. The function  $g$  depends on the depth model and should have a degree of freedom up to four.

Let  $\Psi_{s,r}(X, Y)$  be the depth model function that models the depth changes in the world coordinates corresponding to the  $r^{th}$  patch of the  $s^{th}$  stripe. If the world patch is assumed to have a constant depth value  $\Psi_{s,r}(X, Y) = c$ , where  $c$  is a constant nonnegative number i.e., then  $g$  is also a constant independent of the position  $\mathbf{p}$ , which depends on  $M(s, r_i)$ ,  $Z(s, r_i)$ , focal length and baseline distance between cameras. If the depth of the world patch is modeled as a plane  $\Psi_{s,r}(X, Y) = aX + bY + c$ , then the function  $g$  is an *affine* function of  $\mathbf{p}$  and its parameters are the functions of  $M(s, r_i)$ ,  $Z(s, r_i)$ , focal length and baseline distance. These parameters can be derived by a least square fitting. If a nonlinearly changing depth surface is assumed then  $g$  can be approximated by a rational function up to a certain order.

### 3.2. Multi-resolution Matching Algorithm

After generating the mesh, preprocessing the input stereo pair to compensate possible luminance differences [4], and cropping out the sides (left side of the left and right side of the right image for zero camera system convergence angle) which are not visible in both of the images, matching is done by minimizing a frame difference error for each stripe.

At the beginning, there is no initial depth map for the first stripe to start the iterative algorithm. The depth values of the first stripe at this level is initialized with an average depth. The first order gradient descent method is employed to minimize the matching error. The matching error function is defined as

$$E_s = \frac{1}{2} \sum_{j=1}^P \sum_{\mathbf{x} \in R^j} [f_L(\mathbf{x}) - f_R(\mathbf{x} - g(\mathbf{x}, M(s, r_i), Z(s, r_i)))]^2$$

where  $P$  is total number of patches in the stripe and  $R^j$  is the set which includes all pixels in the patches adjoint to the current nodes. The matching error  $E_s$  is calculated and minimized over the stripe. The updated node positions evaluated from the matching error of the adjoint *two* patches to the current nodes. In these two patches, disparity values of the image patch points are derived by using  $Z(s, r_i)$ . The depth values for the world patch are obtained from  $\Psi$  and transferred into image plane disparity domain by the function  $g$ . The following stripes are initialized with the depth values obtained from the previous ones by using a vertical

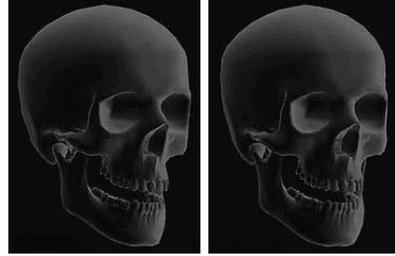


Figure 2: *Skull* pair, original left and right images

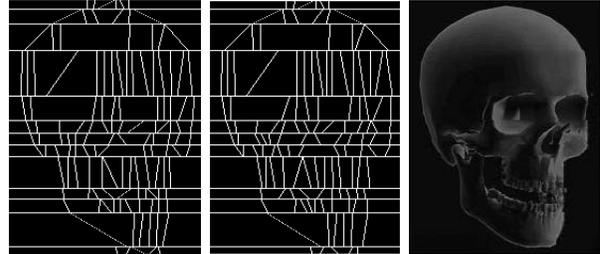


Figure 3: Generated mesh, estimated mesh and estimated right image

continuity criterion. This criterion is acquired from the horizontal edge map and assumes the depths of two points on the same vertical line are similar if there is no horizontal edge between them. Successive stripes are processed in the same way.

The multi-resolution algorithm is employed by using the coarsest pair of stereo images and propagating the resultant depth map to an upper level in the hierarchical structure. This approach helps supplying necessary initialization values for the gradient descent part as well as providing a global continuity constraint which is suitable to most of the cases. Multi-resolution approach also speeds up the estimation algorithm. Lastly, a postprocessing filter is applied in order to improve the quality of constructed depth map.

## 4. EXPERIMENTAL RESULTS

The proposed matching technique is evaluated using both synthetic and natural images. Fig. 2 shows *Skull* image pair of size  $180 \times 240$  and Fig. 4 is *Sergio* image pair of size  $128 \times 128$  with depth range  $0.1m - 3m$ . Since the depth model function  $\Psi$  is chosen as a planar function, the resultant disparity function  $g$  is a strictly affine function. The idea is to approximate depth values inside each patch sufficiently close to real values while keeping the disparity estimation function as simple as possible. The generated and estimated meshes is shown in Fig. 3 and Fig. 5. The patch borders match with the edges where depth changes are expected. The

total number of stripes and patches can be increased to get a denser mesh in order to improve the depth model approximation, but it also increases the computation time and reduces the matching window size. Taking the original left images as reference images and using the estimated depth maps, synthetic right images are produced.

To assess the performance of the algorithm, we used a squared frame difference error criterion between the original right and estimated right images. It is seen that error drops dramatically after the first resolution level and converges smoothly in the further levels.

## 5. CONCLUSION

In this contribution, a depth estimation algorithm using adaptive stripe based quadrilateral patch matching is introduced and discussed. The obtained results prove the method is promising. After a preprocessing stage, a mesh is generated from the edge maps and used to find patch correspondences between the left and right images. In each patch, the depth of the scene is assumed to be changing linearly. The equivalent of this assumption in the disparity domain is that the disparity function is affine. Following the matching stage, a depth map is produced and postprocessed.

In the stripe matching part, a depth continuity assumption is held. This assumption causes the depth values of the corresponding nodes in the adjoint patches to be equal. If these values are allowed to be independent from each other, then discontinuous depth maps can be modeled.

Thus far, we have considered only the case where surface of each patch is modeled as a plane. One future work is to extend the presented algorithm to more complicated surface models. Another challenging problem is to solve the matching problem in special regions such as occluded areas, regions including periodic patterns or flat patterns. [6]. We also plan to implement the algorithm in real time.

## 6. REFERENCES

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Figure 4: *Sergio* pair, original left and right images



Figure 5: Generated mesh, estimated mesh and estimated right image

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